

FACTS**WHAT DOES Baker Tilly Capital, LLC
DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ■ Social Security number and Employment Information ■ Income and Investment Experience ■ Risk Tolerance and Retirement Assets
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Baker Tilly Capital, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Baker Tilly Capital, LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	Yes
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No	We don't share

To limit our sharing	<ul style="list-style-type: none"> ■ Call 800-362-7301 —our menu will prompt you through your choice(s) or ■ Visit us online: www.bakertilly.com <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 5 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 800-362-7301 or go to www.bakertilly.com
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Who we are

Who is providing this notice?

Baker Tilly Capital, LLC

What we do

How does Baker Tilly Capital, LLC, protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We restrict access to your personal information to those employees who need it to perform their job responsibilities.

How does Baker Tilly Capital, LLC, collect my personal information?

We collect your personal information, for example, when you

- open an account or seek financial advice
- apply for insurance or deposit or withdraw money
- enter into an agreement with Baker Tilly Capital, LLC

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

We will stop sharing all information about that account.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our parent accounting firm Baker Tilly Virchow Krause, LLP, is our only affiliate with whom we might share your information.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Nonaffiliates with whom we can share include investment companies (mutual funds) and insurance companies.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Baker Tilly Capital, LLC, does not jointly market.*

Other important information

You may also opt out from sharing your information by sending an e-mail to cpa@bakertilly.com